

# **Exhibit 11**

575 Fund Schedules

**GLOBAL NOTES AND STATEMENT OF LIMITATIONS, METHODOLOGY, AND  
DISCLAIMERS REGARDING THE DEBTORS' SCHEDULES OF ASSETS AND  
LIABILITIES AND STATEMENTS OF FINANCIAL AFFAIRS**

commercially reasonable efforts have been made to provide accurate and complete information herein, inadvertent errors or omissions may exist. The Debtors and their agents and attorneys expressly do not undertake any obligation to update, modify, revise, or re-categorize the information provided herein, or to notify any third party should the information be updated, modified, revised, or re-categorized. In no event shall the Debtors or their agents and attorneys be liable to any third party for any direct, indirect, incidental, consequential, or special damages (including, but not limited to, damages arising from the disallowance of a potential claim against the Debtors or damages to business reputation, lost business, or lost profits), whether foreseeable or not and however caused, even if the Debtors or their agents and attorneys are advised of the possibility of such damages.

Manager Robert J. Mueller has signed each of the Schedules and Statements. Mr. Mueller is an authorized signatory for each of the Debtors. In reviewing and signing the Schedules and Statements, Mr. Mueller has relied upon unaudited, unreconciled financials and has had limited access to data and documents. Mr. Mueller has not (and could not have) personally verified the accuracy of each statement and representation contained in the Schedules and Statements, including statements and representations concerning amounts owed to creditors, classification of such amounts, and creditor addresses.

### **Global Notes and Overview of Methodology**

**1. Reservation of Rights.** Reasonable efforts have been made to prepare and file complete and accurate Schedules and Statements; however, inadvertent errors or omissions may exist. The Debtors reserve all rights to (i) amend or supplement the Statement and Schedules from time to time, in all respects, as may be necessary or appropriate, including, without limitation, the right to amend the Schedules and Statements with respect to claim (“Claim”) descriptions or designations; (ii) dispute or otherwise assert offsets or defenses to any Claim reflected in the Schedules and Statements as to amount, liability, priority, status or classification; (iii) subsequently designate any Claim as “disputed,” “contingent,” or “unliquidated,” or object to the extent, validity, enforceability, priority, or avoidability of any Claim. Any failure to designate a Claim in the Statement and Schedules as “disputed,” “contingent,” or “unliquidated” does not constitute an admission by any of the Debtors that such Claim or amount is not “disputed,” “contingent,” or “unliquidated.” Listing a Claim does not constitute an admission of liability by the Debtors against which the Claim is listed. Furthermore, nothing contained in the Schedules and Statements shall constitute a waiver of rights with respect to the Debtors’ chapter 7 cases, including, without limitation, issues involving Claims, substantive consolidation, defenses, equitable subordination, and/or causes of action arising under the provisions of chapter 5 of the Bankruptcy Code and any other relevant non-bankruptcy laws to recover assets or avoid transfers. Any specific reservation of rights contained elsewhere in the Global Notes does not limit in any respect the general reservation of rights contained in this paragraph. Notwithstanding the foregoing, the Debtors shall not be required to update the Schedules and Statements except as may be required by applicable law.

**2. Description of the Case and “As Of” Information Date.** On December 9, 2021 (the “Petition Date”), the Debtors filed their voluntary petitions for relief under chapter 7 of the Bankruptcy Code. On December 20, 2021, an Order Granting Motion for Joint Administration [Dkt. No. 20]

was entered directing joint administration of the Debtors' chapter 7 cases. Notwithstanding the joint administration of the Debtors' cases for procedural purposes, each Debtor has filed its own Schedules and Statements.

Unless otherwise indicated, the asset information provided herein represents the asset data as of the close of business on December 31, 2020. Unless otherwise indicated, the liability information represents the liability data of the Debtors as of the close of business on December 31, 2020. The asset and liability data is unaudited, but the Debtors believe such data is the most accurate data available to the Debtors as of the Petition Date.

**3. Estimated Value of Assets.** Unless otherwise indicated, the asset data contained in the Schedules and Statements reflect book value as of July 8, 2021. Book values of assets prepared in accordance with GAAP generally do not reflect the current market value of the assets and may differ materially from the actual value of the underlying assets. Some asset values stated in the Schedules and Statements are estimates based on the best available information available to the Debtors. Unless otherwise noted, the asset values stated in the Schedules and Statements are not based on professional appraisals or any specific valuation methodology.

**4. Recharacterization.** Notwithstanding the Debtors' commercially reasonable efforts to properly characterize, classify, categorize, or designate certain Claims, assets, executory contracts, unexpired leases, and other items reported in the Schedules and Statements, the Debtors may nevertheless have improperly characterized, classified, categorized, designated, or omitted certain items due to the complexity of the Debtors' financial statements and other factors. Accordingly, the Debtors reserve all their rights to re-characterize, reclassify, recategorize, re-designate, add, or delete items reported in the Schedules and Statements at a later time as is necessary or appropriate as additional information becomes available. Disclosure of information in one or more Schedules, one or more Statements question, or one or more exhibits or attachments to the Schedules and Statements, even if incorrectly placed, shall be deemed to be disclosed in the correct Schedules, Statement, exhibits, or attachments.

**5. Liabilities.** The Debtors has sought to allocate liabilities between themselves based on information currently available to the Debtors. As additional information becomes available, the allocation of liabilities between the Debtors may change. Accordingly, the Debtors reserve all their rights to amend, supplement, or otherwise modify their Statements and Schedules as is necessary or appropriate.

**6. Excluded Assets and Liabilities.** The Debtors may have inadvertently excluded certain categories of assets, tax accruals, and liabilities from the Schedules and Statements, including, without limitation, other claims against vendors who could be holding pinball machine parts that belong to the Debtors, net operating loss tax characteristics ("NOLs"), accrued salaries, employee benefit accruals, and accrued accounts payable. In addition, certain immaterial assets and liabilities may have been excluded.

**7. Insiders.** For purposes of the Schedules and Statements, the Debtors defined "insiders" pursuant to section 101(31) of the Bankruptcy Code as: (a) directors; (b) officers; (c) persons in control of the Debtor; (d) relatives of the Debtors' directors, officers, or persons in control of the Debtors;

and (e) debtor/non-debtor affiliates of the foregoing. Persons listed as “insiders” have been included for informational purposes only and the inclusion of them in the Schedules and Statements, shall not constitute an admission that those persons are insiders for purposes of section 101(31) of the Bankruptcy Code. Moreover, the Debtors do not take any position with respect to: (a) any insider’s influence over the control of the Debtors; (b) the management responsibilities or functions of any such insider; (c) the decision making or corporate authority of any such insider; or (d) whether the Debtors or any such insider could successfully argue that he or she is not an “insider” under applicable law or with respect to any theories of liability or for any other purpose.

**8. Intellectual Property Rights.** Exclusion of any intellectual property shall not be construed as an admission that such intellectual property rights have been abandoned, terminated, assigned, expired by their terms, or otherwise transferred pursuant to a sale, acquisition, or other transaction.

**9. Classifications.** Listing (a) a Claim on Schedule E/F as “priority,” or (b) a Claim on Schedule E/F as “unsecured” does not constitute an admission by the Debtors of the legal rights of the claimant or a waiver of the Debtors’ rights to recharacterize or reclassify such Claims or contracts or to setoff of such Claims.

**10. Claims Description.** Schedule E/F permits the Debtors to designate a Claim as “disputed,” “contingent,” and/or “unliquidated.” Any failure to designate a Claim on the Schedules and Statements as “disputed,” “contingent,” or “unliquidated” does not constitute an admission by any Debtors that such amount is not “disputed,” “contingent,” or “unliquidated,” or that such Claim is not subject to objection. The Debtors reserve all their rights to dispute, or assert offsets or defenses to, any Claim reflected on their Schedules and Statements on any grounds, including liability or classification. Additionally, the Debtors expressly reserve all their rights to subsequently designate such Claims as “disputed,” “contingent” or “unliquidated.” Moreover, listing a Claim does not constitute an admission of liability by the Debtors.

**11. Causes of Action.** Despite making commercially reasonable efforts to identify all known assets, the Debtors may not have listed all its causes of action or potential causes of action against third parties as assets in the Statements and Schedules, including, without limitation, causes of action arising under the provisions of chapter 5 of the Bankruptcy Code and any other relevant non-bankruptcy laws to recover assets or avoid transfers. The Debtors reserve all its rights with respect to any cause of action (including avoidance actions), controversy, right of setoff, cross-claim, counterclaim, or recoupment and any claim on contracts or for breaches of duties imposed by law or in equity, demand, right, action, lien, indemnity, guaranty, suit, obligation, liability, damage, judgment, account, defense, power, privilege, license, and franchise of any kind or character whatsoever, known, unknown, fixed or contingent, matured or unmatured, suspected or unsuspected, liquidated or unliquidated, disputed or undisputed, secured or unsecured, assertible directly or derivatively, whether arising before, on, or after the Petition Date, in contract or in tort, in law or in equity, or pursuant to any other theory of law (collectively, “Causes of Action”) they may have, and neither these Global Notes nor the Schedules and Statements shall be deemed a waiver of any claims or Causes of Action or in any way prejudice or impair the assertion of such claims or Causes of Action.

**12. Summary of Significant Reporting Policies.** The following is a summary of significant reporting policies:

- **Undetermined Amounts.** The description of an amount as “unknown,” “unknown, investigating,” “cannot allocate,” “cannot value,” “N/A,” “TBD,” or “undetermined” is not intended to reflect upon the materiality of such amount.
- **Totals.** All totals that are included in the Schedules and Statements represent totals of all known amounts. To the extent there are unknown or undetermined amounts, the actual total maybe different than the listed total.
- **Liens.** Property and equipment listed in the Schedules and Statements are presented with any liens that may attach (or have attached) to such property and equipment, to the best of the Debtors’ knowledge, except that liens attaching to substantially all of the Debtors’ assets are not noted as to each and every asset listed.

**13. Currency.** Unless otherwise indicated, all amounts are reflected in U.S. dollars.

**14. Intercompany Payables and Receivables.** Intercompany receivables/payables are set forth on Schedules A/B and E/F, respectively. The listing by the Debtors of any account between a Debtor and any of its Debtor or non-debtor affiliates is a statement of what appears in each respective Debtor’s books and records as of December 31, 2020 and does not reflect any admission or conclusion of the Debtors regarding the allowance, classification, characterization, validity, or priority of such account. The Debtors take no position in these Schedules and Statements as to whether such accounts would be allowed as a Claim, an Interest, or not allowed at all. The Debtors and all parties in interest reserve all rights with respect to such accounts.

**15. Employee Addresses.** Employees and director addresses listed in the Schedules and Statements are the last known addresses provided to the Debtors.

**16. Debtor’s Address.** For the purposes of the Schedules and Statements, the Debtors’ address has been reported as the Debtor’s P.O. box in San Antonio, Texas.

**17. Global Notes Control.** In the event that the Schedules or Statements differ from any of the foregoing Global Notes, the Global Notes shall control.

**Specific Notes with Respect to the Debtors’ Schedules of Assets and Liabilities.** The Schedules neither purport to represent financial statements prepared in accordance with GAAP, nor are they intended to be fully reconciled with the financial statements of the Debtors. Additionally, the Schedules contain unaudited information that is subject to further review and potential adjustment and reflect each of the Debtor’s reasonable best efforts to report its assets and liabilities. Moreover, given, among other things, questions about the characterization of certain assets and the valuation and nature of certain liabilities, the Schedules and Statements are not an admission that the Debtors were solvent or insolvent as of the Petition Date or at any time before the Petition Date.

**1. Schedule A/B, Part 5 – Inventory, excluding agriculture assets**

The Debtors have limited access to certain records regarding the pinball machine and pinball parts inventories.

**2. Schedule A/B, Part 7 – Office furniture, fixtures, and equipment; and collectibles**

Current value is based on management’s best estimate as of the Petition Date. The value provided on Schedules is the estimated total value of the assets. Values given were not split between Debtors that jointly own the assets.





Debtor deeproot 575 Fund, LLC Case number (if known) 21-51517  
Name

None

**9. Total of Part 2**

Add lines 7 through 8 (including amounts on any additional sheets). Copy the total to line 81.

**\$0.00**

### Part 3: Accounts receivable

**10. Does the debtor have any accounts receivable?**

- ☐ No. Go to Part 4.
- ☒ Yes. Fill in the information below.

**Current value of debtor's interest**

## 11. Accounts Receivable

11a. 90 days old or less: \_\_\_\_\_ - \_\_\_\_\_ = ..... → \_\_\_\_\_  
face amount                  doubtful or uncollectible accounts

11b. Over 90 days old:  $\frac{\$0.00}{\text{face amount}} - \frac{\$0.00}{\text{doubtful or uncollectible accounts}} = \text{.....} \rightarrow \frac{\$0.00}{\text{face amount}}$

## 12. Total of Part 3

Current value on lines 11a + 11b = line 12. Copy the total to line 82.

**\$0.00**

## Part 4: Investments

**13. Does the debtor own any investments?**

- ☒ No. Go to Part 5.
- ☐ Yes. Fill in the information below.

Valuation method used for current value

**Current value of debtor's interest**

**14. Mutual funds or publicly traded stocks not included in Part 1**

Name of fund or stock:

None

15. **Non-publicly traded stock and interests in incorporated and unincorporated businesses, including any interest in an LLC, partnership, or joint venture**

Name of fund or stock: \_\_\_\_\_ % of ownership: \_\_\_\_\_

None

**16. Government bonds, corporate bonds, and other negotiable and non-negotiable instruments not included in Part 1**

Describe:

None

Debtor deeproot 575 Fund, LLC  
Name

Case number (if known) 21-51517

**17. Total of Part 4**

Add lines 14 through 16 (including any additional sheets). Copy the total to line 83.

\$0.00

**Part 5: Inventory, excluding agriculture assets**

**18. Does the debtor own any inventory (excluding agriculture assets)?**

- ☒ No. Go to Part 6.  
☐ Yes. Fill in the information below.

General description	Date of the last physical inventory	Net book value of debtor's interest (Where available)	Valuation method used for current value	Current value of debtor's interest
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**19. Raw materials**

None

**20. Work in progress**

None

**21. Finished goods, including goods held for resale**

None

**22. Other inventory or supplies**

None

**23. Total of Part 5**

Add lines 19 through 22 (including any additional sheets). Copy the total to line 84.

\$0.00

**24. Is any of the property listed in Part 5 perishable?**

- ☒ No  
☐ Yes

**25. Has any of the property listed in Part 5 been purchased within 20 days before the bankruptcy was filed?**

- ☒ No  
☐ Yes

**26. Has any of the property listed in Part 5 been appraised by a professional within the last year?**

- ☒ No  
☐ Yes

**Part 6: Farming and fishing-related assets (other than titled motor vehicles and land)**

**27. Does the debtor own or lease any farming and fishing-related assets (other than titled motor vehicles and land)?**

- ☒ No. Go to Part 7.  
☐ Yes. Fill in the information below.

Debtor	<b>deeproot 575 Fund, LLC</b>
Name	

Case number (if known) 21-51517

General description	Net book value of debtor's interest (Where available)	Valuation method used for current value	Current value of debtor's interest
28. Crops — either planted or harvested			
None			
29. Farm animals <i>Examples:</i> Livestock, poultry, farm-raised fish			
None			
30. Farm machinery and equipment (Other than titled motor vehicles)			
None			
31. Farm and fishing supplies, chemicals, and feed			
None			
32. Other farming and fishing-related property not already listed in Part 6			
None			
33. Total of Part 6			
Add lines 28 through 32. Copy the total to line 85.			<u>\$0.00</u>
34. Is the debtor a member of an agricultural cooperative?			
<input checked="" type="checkbox"/> No			
<input type="checkbox"/> Yes. Is any of the debtor's property stored at the cooperative?			
<input type="checkbox"/> No			
<input type="checkbox"/> Yes			
35. Has any of the property listed in Part 6 been purchased within 20 days before the bankruptcy was filed?			
<input checked="" type="checkbox"/> No			
<input type="checkbox"/> Yes			
36. Is a depreciation schedule available for any of the property listed in Part 6?			
<input checked="" type="checkbox"/> No			
<input type="checkbox"/> Yes			
37. Has any of the property listed in Part 6 been appraised by a professional within the last year?			
<input checked="" type="checkbox"/> No			
<input type="checkbox"/> Yes			
<b>Part 7: Office furniture, fixtures, and equipment; and collectibles</b>			
38. Does the debtor own or lease any office furniture, fixtures, equipment, or collectibles?			
<input checked="" type="checkbox"/> No. Go to Part 8.			
<input type="checkbox"/> Yes. Fill in the information below.			

Debtor deeproot 575 Fund, LLC Case number (if known) 21-51517  
Name

Case number (if known) **21-51517**

General description	Net book value of debtor's interest (Where available)	Valuation method used for current value	Current value of debtor's interest
39. Office furniture			
None			
40. Office fixtures			
None			
41. Office equipment, including all computer equipment and communication systems equipment and software			
None			
42. Collectibles <i>Examples:</i> Antiques and figurines; paintings, prints or other artwork; books, pictures, or other art objects; china and crystal; stamp, coin, or baseball card collections; other collections, memorabilia, or collectibles			
None			
43. Total of Part 7 Add lines 39 through 42. Copy the total to line 86.			<u>\$0.00</u>
44. Is a depreciation schedule available for any of the property listed in Part 7? <input checked="" type="checkbox"/> No <input type="checkbox"/> Yes			
45. Has any of the property listed in Part 7 been appraised by a professional within the last year? <input checked="" type="checkbox"/> No <input type="checkbox"/> Yes			

Part 8: Machinery, equipment, and vehicles

46. Does the debtor own or lease any machinery, equipment, or vehicles?  
☒ No. Go to Part 9.  
☐ Yes. Fill in the information below.

General description	Net book value of debtor's interest (Where available)	Valuation method used for current value	Current value of debtor's interest
47. Automobiles, vans, trucks, motorcycles, trailers, and titled farm vehicles			
None			
48. Watercraft, trailers, motors, and related accessories <i>Examples:</i> Boats, trailers, motors, floating homes, personal watercraft, and fishing vessels			

Debtor	<b>deeproot 575 Fund, LLC</b>
Name	

Case number (if known) 21-51517

None

## 49. Aircraft and accessories

None

**50. Other machinery, fixtures, and equipment (excluding farm machinery and equipment)**

None

**51. Total of Part 8**

Add lines 47 through 50. Copy the total to line 87.

**\$0.00**

**52. Is a depreciation schedule available for any of the property listed in Part 8?**

☒ No☐ Yes

**53. Has any of the property listed in Part 8 been appraised by a professional within the last year?**

☒ No☐ Yes

## Part 9: Real Property

**54. Does the debtor own or lease any real property?**

☒ No. Go to Part 10.

☐ Yes. Fill in the information below.

General description	Nature and extent of debtor's interest in property	Net book value of debtor's interest (Where available)	Valuation method used for current value	Current value of debtor's interest
Include street address or other description such as Assessor Parcel Number (APN), and type of property (for example, acreage, factory, warehouse, apartment or office building), if available				

55. Any building, other improved real estate, or land which the debtor owns or in which the debtor has interest

None

**56. Total of Part 9**

Add the current value on lines 55.1 through 55.3 and entries from any addition sheets. Copy the total to line 88.

**\$0.00**

**57. Is a depreciation schedule available for any of the property listed in Part 9?**

☒ No☐ Yes

**58. Has any of the property listed in Part 9 been appraised by a professional within the last year?**

☒ No☐ Yes

## Part 10: Intangibles and Intellectual Property

Debtor	<b>deeproot 575 Fund, LLC</b>
Name	

Case number (if known) 21-51517

59. Does the debtor have any interests in intangibles or intellectual property?

- ☒ No. Go to Part 11.
- ☐ Yes. Fill in the information below.

General description	Net book value of debtor's interest (Where available)	Valuation method used for current value	Current value of debtor's interest
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## 60. Patents, copyrights, trademarks, and trade secrets

None

## 61. Internet domain names and websites

None

## 62. Licenses, franchises, and royalties

None

**63. Customer lists, mailing lists, or other compilations**

None

**64. Other intangibles, or intellectual property**

None

## 65. Goodwill

None

**66. Total of Part 10**

Add lines 60 through 65. Copy the total to line 89.

**\$0.00**

**67. Do your lists or records include personally identifiable information of customers?** (as defined in 11 U.S.C. §§ 101(41A) and 107)

- ☒ No  
☐ Yes

**68. Is there an amortization or other similar schedule available for any of the property listed in Part 10?**

- ☒ No  
☐ Yes

**69. Has any of the property listed in Part 10 been appraised by a professional within the last year?**

- ☒ No  
☐ Yes

## Part 11: All other assets

**70. Does the debtor own any other assets that have not yet been reported on this form?**

- ☐ No. Go to Part 12.
- ☒ Yes. Fill in the information below.

Debtor	<b>deeproot 575 Fund, LLC</b>
Name	

Case number (if known) 21-51517

Current value of debtor's interest

## 71. Notes receivable

Description (include name of obligor)

None

## 72. Tax refunds and unused net operating losses (NOLs)

Description (for example, federal, state, local)

None

### 73. Interests in insurance policies or annuities

None

**74. Causes of action against third parties (whether or not a lawsuit has been filed)**

#### 74.1 possible claims against Williams Crow Mask LLP

(Unknown)

**Nature of Claim** accounting malpractice

**Amount Requested (Unknown)**

**Additional Page Total - See continuation page for additional entries**

**\$0.00**

**75. Other contingent and unliquidated claims or causes of action of every nature, including counterclaims of the debtor and rights to set off claims**

**75.1 investments in deeproot Growth Runs Deep Fund, LLC**

**\$50,042,207.63**

**Nature of Claim** \_\_\_\_\_

**Amount Requested**    **\$50,042,207.63**

**76. Trusts, equitable or future interests in property**

None

77. **Other property of any kind not already listed** *Examples:* Season tickets, country club membership

None

**78. Total of Part 11**

Add lines 71 through 77. Copy the total to line 90.

**\$50,042,207.63**

**79. Has any of the property listed in Part 11 been appraised by a professional within the last year?**

☒ No☐ Yes

Debtor	<b>deeproot 575 Fund, LLC</b>
Name	

Case number (if known) 21-51517

## Part 12: Summary

Type of property	Current value of personal property	Current value of real property
80. Cash, cash equivalents, and financial assets. Copy line 5, Part 1.	\$0.00	
81. Deposits and prepayments. Copy line 9, Part 2.	\$0.00	
82. Accounts receivable. Copy line 12, Part 3.	\$0.00	
83. Investments. Copy line 17, Part 4.	\$0.00	
84. Inventory. Copy line 23, Part 5.	\$0.00	
85. Farming and fishing-related assets. Copy line 33, Part 6.	\$0.00	
86. Office furniture, fixtures, and equipment; collectibles. Copy line 43, Part 7.	\$0.00	
87. Machinery, equipment, and vehicles. Copy line 51, Part 8.	\$0.00	
88. Real property. Copy line 56, Part 9.....		\$0.00
89. Intangibles and intellectual property. Copy line 66, Part 10.	\$0.00	
90. All other assets. Copy line 78, Part 11.	+\$50,042,207.63	
91. Total. Add lines 80 through 90 for each column.....	91a. \$50,042,207.63	91b. \$0.00
92. Total of all property on Schedule A/B. Lines 91a + 91b = 92 .....		\$50,042,207.63



Case number (if known) **21-51517**

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**Current value of debtor's interest**

74. Causes of action against third parties (whether or not a lawsuit has been filed) - *Continued*

#### 74.2 Possible claims against Carlile Patchen & Murphy LLP

Nature of Claim	<u>legal malpractice</u>
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**Amount Requested**    (Unknown)

(Unknown)



Debtor	deeproot 575 Fund, LLC
	Name

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## Part 2: List Others to Be Notified for a Debt Already Listed in Part 1

List in alphabetical order any others who must be notified for a debt already listed in Part 1. Examples of entities that may be listed are collection agencies, assignees of claims listed above, and attorneys for secured creditors.

**If no others need to be notified for the debts listed in Part 1, do not fill out or submit this page. If additional pages are needed, copy this page.**

Name and address	On which line in Part 1 did you enter the related creditor?	Last 4 digits of account number for this entity
_____	Line _____	____ _
_____		
_____		

Debtor name deeproot 575 Fund, LLC

United States Bankruptcy Court for the:  
Western District of Texas

Case number (if known): 21-51517

☐ Check if this is an amended filing

## Schedule E/F: Creditors Who Have Unsecured Claims

12/15

Be as complete and accurate as possible. Use Part 1 for creditors with **PRIORITY** unsecured claims and Part 2 for creditors with **NONPRIORITY** unsecured claims. List the other party to any executory contracts or unexpired leases that could result in a claim. Also list executory contracts on *Schedule A/B: Assets - Real and Personal Property* (Official Form 206A/B) and on *Schedule G: Executory Contracts and Unexpired Leases* (Official Form 206G). Number the entries in Parts 1 and 2 in the boxes on the left. If more space is needed for Part 1 or Part 2, fill out and attach the Additional Page of that Part included in this form.

### Part 1: List All Creditors with PRIORITY Unsecured Claims

- 1. Do any creditors have priority unsecured claims?** (See 11 U.S.C. § 507)
- ☐ No. Go to Part 2.
- ☒ Yes. Go to line 2.

- 2. List in alphabetical order all creditors who have unsecured claims that are entitled to priority in whole or in part.** If the debtor has more than 3 creditors with priority unsecured claims, fill out and attach the Additional Page of Part 1.

Total claim	Priority amount
unknown	unknown

- 2.1** Priority creditor's name and mailing address
- North American Securities Administrators Association, Inc.
- 750 First Street, N.E. 1140
- Washington, DC 20002
- Date or dates debt was incurred
- \_\_\_\_\_
- Last 4 digits of account  
number \_\_\_\_ \_
- Specify Code subsection of **PRIORITY** unsecured claim: 11 U.S.C. § 507(a) **(8)**

Check all that apply.

- ☐ Contingent
- ☐ Unliquidated
- ☐ Disputed

**Basis for the Claim:**

☒ No  
☐ Yes

- 2.2** Priority creditor's name and mailing address
- Texas Comptroller
- 111 East 17th Street
- Austin, TX 78774
- Date or dates debt was incurred
- Last 4 digits of account  
number \_\_\_\_ \_
- Specify Code subsection of PRIORITY unsecured  
claim: 11 U.S.C. § 507(a) (8)

Check all that apply.

- ☐ Contingent
- ☐ Unliquidated
- ☐ Disputed

**Basis for the Claim:**

☒ No  
☐ Yes

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Debtor	<b>deeproot 575 Fund, LLC</b>
Name	

Case number (if known) 21-51517

## Part 2: Additional Page

3.6	<p><b>Nonpriority creditor's name and mailing address</b>  <u>Policy Services, Inc.</u></p> <p><u>P.O. Box 691610</u></p> <p><u>San Antonio, TX 78269</u></p> <p>Date or dates debt was incurred _____</p> <p>Last 4 digits of account number _____</p>	<p><b>As of the petition filing date, the claim is:</b>  <i>Check all that apply.</i></p> <p><input type="checkbox"/> Contingent</p> <p><input type="checkbox"/> Unliquidated</p> <p><input type="checkbox"/> Disputed</p> <p><b>Basis for the claim:</b> <u>intercompany payable</u></p> <p><b>Is the claim subject to offset?</b></p> <p><input type="checkbox"/> No</p> <p><input checked="" type="checkbox"/> Yes</p>	<p><u>unknown</u></p>
3.7	<p><b>Nonpriority creditor's name and mailing address</b>  <u>Wells Fargo</u></p> <p><u>420 Montgomery Street</u></p> <p><u>San Francisco, CA 94104</u></p> <p>Date or dates debt was incurred _____</p> <p>Last 4 digits of account number _____</p>	<p><b>As of the petition filing date, the claim is:</b>  <i>Check all that apply.</i></p> <p><input type="checkbox"/> Contingent</p> <p><input type="checkbox"/> Unliquidated</p> <p><input type="checkbox"/> Disputed</p> <p><b>Basis for the claim:</b> <u>Accounts</u></p> <p><b>Is the claim subject to offset?</b></p> <p><input checked="" type="checkbox"/> No</p> <p><input type="checkbox"/> Yes</p>	<p><u>unknown</u></p>

Debtor deeproot 575 Fund, LLC

Name

Case number (if known) 21-51517

Part 4: Total Amounts of the Priority and Nonpriority Unsecured Claims

5. Add the amounts of priority and nonpriority unsecured claims.

		Total of claim amounts
5a. Total claims from Part 1	5a.	<u>\$0.00</u>
5b. Total claims from Part 2	5b. +	<u>\$0.00</u>
5c. Total of Parts 1 and 2 Lines 5a + 5b = 5c.	5c.	<div><u>\$0.00</u></div>

Thomas Abraham	16626 Broadoak Grove Ln, Sugar Land, TX 77498-7170
David Adderley	13911 SW 112th St, Miami, FL 33186-3267
Susan Alderfer	2045 Hill Rd, Perkiomenville, PA 18074-9636
Janice Arbogast	1253 Lacey Spring Rd, Harrisonburg, VA 22802-1435
Maryann Barsi	37 Louis St, Old Bridge, NJ 08857-2234
Gwendolyn Barze	3323 Abbotswood Dr, Harvey, LA 70058-7469
Deborah Belinowiz	2328 Via Meriposa W Unit P, Laguna Woods, CA 92637-2147
Andrew Caldwell	2354 340th Street, Keokuk, IA 52632-9540
Danny Castillo	113 N El Paso, Dumas, TX 79029-3551
John Cochran	984 Deercrest Drive, San Bernardino, CA 92407-1308
Clara Coffey	7719 Cervin Dr, Amarillo, TX 79121-1203
David Croy	203 Dunkirk Rd, Oldsmar, FL 34677-4001
Michael Dennis	3409 Country Ridge Dr, Antioch, TN 37013-1038
Joseph Dorsey	6734 Bambery St, New Orleans, LA 70126-2710
Vernon Earle	10991 E Via Tranquilla , Tucson, AZ 85749-8767
Kathleen Ellis	4480 E Skousen St, Gilbert, AZ 85295-0032
Esteban Flores	5000 Glenn Street, Amarillo, TX 79108-4812
Donald Hales	4642 W Park View Cir, Glendale, AZ 85310-3100
Brett Havenga	5105 E Hawthorn Dr, Flagstaff, AZ 86004-7376
Clarence Henderson	604 NE 2nd Street, Dania Beach, FL 33004-3350
Jennifer Kinard	5404 Richardsons Endeavor Dr, Bowie, MD 20720-3395
James Klinker	2538 Prairie St, Blue Island, IL 60406-2039
Darlene Langkopf	2 Paradise Lane, Washington, MO 63090-3621
Brad Leon	620 Vista View Dr, Ashville, NC 28803-8572
Pablo Manrique	12375 W Cameo Mary Ln, Tucson, AZ 85743
Gary Marburger	103 Entrance Drive APT 4, Livingston , TX 77351-9091
Paul Monaghan	23606 Whispering Wind, Katy , TX 77494-0211
Irma Nieves	5015 E Fairmount, Tucson, AZ 85712-4060
Paula Norton	12310 Scottsdale Drive, Meadows Place, TX 77477-1436
Hubert Odom	900 Longbranch Rd, Hohenwald, TN 38462-4010
James O'Neal	1305 Carolyn Ct, Humble, TX 77338-8013
Donald Pagel	18019 Dorman Draw Ln, Houston, TX 77044-1655
Derek Pimble	415 Sullivan Trail, Long Pond, PA 18334-7858
Paul Pino	7701 Santa Isabel Ct NW, Albuquerque, NM 87120-3652
Victoria Rhoden	7151 Woodlake Pkwy #108, San Antonio, TX 78218-3747
Aubrey Ross	5418 Westerham Place, Houston, TX 77069-1945
Pauline Rubin	11123 Renwick Dr., Houston, TX 77096-6138
JoAnne Settles	125 Berkshire, Victoria, TX 77904-1752
Nathan Smith	2707 Rambo Circle, Lavaca, AZ 72941-4323
Hans VanRiel	3660 Wedo Way, North Las Vegas, NV 89031-2281
Charles Walker	687 Blazer Ave, Smyrna, TN 37167-4517
Michael Wolf	20205 Smokey Rd, Frenchtown, MT 59834-9713
Mark Zabinski	707 Bridgewater Drive, Monroe, NC 28112-8444
Larry R Blume Family Trust	2341 Xenia Ave, Pahump, NV 89048-6112
Bradley Ament	1414 S Norfolk, Mesa, AZ 85206-3320
Paula Atkinson	601 Cherry Ln, Grandview, WA 98930-1486
James Turk	4026 N. Terra Mesa Circle, Mesa, AZ 85207-1474



Thomas Abraham	16626 Broadoak Grove Ln, Sugar Land, TX 77498-7170
Marian Abram	5752 N Camino De Las Estrellas, Tucson, AZ 85718-4476
Robert Alessi	17718 92nd Ave E, Puyallup , WA 98375-2097
Victor Arnold	2525 E Drachman St, Tucson, AZ 85716-3506
Richard Barnes	1910 Brazos Crossing Dr, Richmond, TX 77406-6807
Paloma Beamer	166 E Limberlost Dr Unit 103, Tucson, AZ 85705-8816
Deborah Belinowiz	2328 Via Meriposa W Unit P, Laguna Woods, CA 92637-2147
Joseph Benson	39319 Camino Manena, Indio, CA 92203-6615
Glen Biddle	4103 Cane Valley Ct, Fulshear, TX 77441-1758
Christopher Blais	15250 Blackfoot Rd, Apple Valley, CA 92307-3314
Sharon Bloczynski	8015 S Lawler Ave, Burbank, IL 60459-2138
Gus Brisco	4778 MUIR AVE, SAN DIEGO, CA 92107-2218
Donald Brooks	330 Ash Drive, Waxahachie, TX 75165-7791
Cynthia Bryant	1209 W Crystal Palace Pl, Tucson, AZ 85737-9051
Tracie Bush	7225 Royal Oak Dr, Benbrook, TX 76126-4525
Casandra Carroll	3821 Lazy Creek Drive, Tyler, TX 75707-1543
Jun Chang	6029 S Rice Ave, Bellaire, TX 77401-2816
Donald Cook	6243 Settlers Lake Cir E, Katy, TX 77449-2061
Helene Cook	6243 Settlers Lake Cir E, Katy, TX 77449-2061
Theresa Dannhaus	32025 FM 1301 Rd, West Columbia, TX 77486-2201
Iva Das	3115 Gatesbury North Dr, Houston, TX 77082-3052
Patrick Derksen	2636 N. Gill Ave., Tucson, AZ 85719-3218
Mark Donahue	11892 N Meteor Pl, Tucson, AZ 85737-3467
Scott Donaldson	620 Stoneleigh Dr, Houston, TX 77079-6912
Philip Erdmann	18319 Glenn Haven Estates Dr., Spring, TX 77379-2769
Sonia Green	2100 Huldry St #1, Houston, TX 77019-6535
Tanja Greene	3411 Sparta Drive, Missouri City, TX 77459-6760
Charles Guthrie	13108 Cottingham Rd, Oklahoma City, OK 73142-3092
Linda Hampton	2702 W Monte Vista Dr., Tucson, AZ 85745-9702
Scott Hanson	4148 E Rockledge Rd, Phoenix, AZ 85044-6768
Brett Havenga	5105 E Hawthorn Dr, Flagstaff, AZ 86004-7376
Lee Ann Havenga	5105 E Hawthorn Dr, Flagstaff, AZ 86004-7376
Dave Hernandez	26835 Trinity Trail, Cypress, TX 77433-7599
Thomas Hogan	520 Hickman St, Boerne, TX 78006-2715
Roxanne Holly	6821 E Brownstone Pl, Tucson, AZ 85750-2074
Paul Hopman	4901 N Sabino Valley Pl, Tucson, AZ 85750-7221
Brenda Jennings	11515 Fawnway Dr, Houston, TX 77048-2607
Eden Johnson	16711 Mount Allyson Circle, Fountain Vly, CA 92708-2430
Stephanie Joseph	3506 Lauren Trail, Pearland, TX 77581-8835
Jeanetta Josey	1104 S Nueces St, Coleman, TX 76834-5331
Michele Kane	3528 W Starr Pass Dr, Tucson, AZ 85745-9500
Jane Kilanowski	17848 Columbus Ct, Orland Park, IL 60467-1369
John Kobierecki	15525 Julies Way, Orland Park, IL 60462-2493
Ann Kurtz	299 Bronco Ct, Berthoud, CO 80513-2828
Walter Lakota	2302 Columbia Drive, Costa Mesa, CA 92626-6453
Teresa Lampkins	3310 Beam Drive, Las Vegas, NV 89139-5902
William Lawlor	19128 Loomis Ave. Homewood, IL 60430-4422

Donna Linhart	17022 N 38th Ave, Glendale, AZ 85308-4202
Lieselotte Miller	3940 Laurel Branch Dr, Lakeland, FL 33810-6336
Paul Mocogni	221 Sard Place, Highwood, IL 60040-1816
Mitchell Monte	1192 Valley Oaks Dr, Lewisville, TX 75067-2011
Candy Nasca	5500 N Valley View Rd Unit 227, Tucson , AZ 85718-5362
Jennifer Nevarez	8232 Homefield Way, Sacramento, CA 95828-4946
James Newell	690 Crab Tree Ct SW, Concord, NC 28025-5700
Linh Nguyen	16830 Cobbler Crossing, Sugarland, TX 77498-7151
James O'Neal	1305 Carolyn Ct, Humble, TX 77338-8013
John Osborn	6202 Northwest Blvd, Davenport, IA 52806-1740
Elizabeth Papagni	2038 Sawgrass Rdg, San Antonio, TX 78260-7235
Karen Payne	12910 Peach Meadow, Cypress, TX 77429-3800
Albert Perry	13815 JOMATT LOOP, WINTER GARDEN, FL 34787-0067
Ralph Price	6340 Villa Emo St, N Las Vegas, NV 89031-7269
Karen Ready	1927 Topside Ct, Crosby, TX 77532-5004
Debra Reardon	1174 Sunset Dr, East Peoria, IL 61611-1166
Ross Rohde	4529 N Heatherwood Pl, Tucson, AZ 85718-6840
Nolan Rowald	7311 Casita Dr, Magnolia, TX 77354-3250
Pauline Rubin	11123 Renwick Dr., Houston, TX 77096-6138
Kenneth Rud	11800 Grant Road #3901, Cypress, TX 77429-4012
Josephine Russomano	94 Roundup Drive, San Antonio, TX 78213-2309
Robert Schall	8264 Preston Way, Sacramento, CA 95828-6604
Peter Shifflett	4521 W Camino De Cielo, Tucson , AZ 85745-9402
Ronald Siemienas	21159 S Hillside Rd, Frankfort, IL 60423-9199
Peggy Smith	654 Cypress Drive, Hanover, PA 17331-2636
Robert Spraker	6960 W Wade Pl, Tucson, AZ 85743-6023
Lynn Stevenson	5075 N Circulo Sobrio, Tucson , AZ 85718-6035
Richard Stroud	6801 CR 1017, Joshua, TX 76058-6327
Dana Sullivan	5430 6th St., Lubbock, TX 79416-4360
Nguyen Thanh	7188 Alder Spring Way, San Jose, CA 95139-1301
Sandra Thompson	4396 N Atfield Pl, Tucson, AZ 85719-1175
Robert Triplet	121 Town Loop Apt 103, Mooresville, NC 28117-9382
George Urban	9722 S McVicker Ave, Oak Lawn, IL 60453-3641
Shawn Volk	1271 W Panorama Rd, Tucson, AZ 85704-2834
Lois Wagner	1492 Sedgfield Drive, Murrells Inlet, SC 29576-8653
Robin Walters	2029 W 20th Lane, Yuma, AZ 85364-8828
Tina Wendelschafer	11329 E Natal Ave, Mesa, AZ 85209-2941
Debbie Wiles	8651 Foothill Blvd 40, RCH Cucamonga , CA 91730-3314
Richard Wiley	1995 W La Osa Dr, Tucson, AZ 85705-2190
Edward Williams	30522 Thorsby Dr, Spring, TX 77386-2517
Jean Williams	7164 N Finger Rock Place, Tucson, AZ 85718-1406
James Woodward	17009 N 60th Way , Scottsdale, AZ 85254-6441
Luther Young	31 Wedgewood Blvd, Conroe, TX 77304-1349
Cox Trust	12 Canyon Rd, Cloudcroft, NM 88317
Judith L. Werner Revocable l	1939 East Lake Dr, HUNTSVILLE, TX 77340
Marian C. Abram Revocable	5752 N Camino de las estrellas, Tucson , AZ 85718-4476
RE & CL Orth Family Trust	1601 W Queen Creek Rd #300, Chandler, AZ 85248-0903

Wells Trust	1201 Enterprise Ave Apt 522, League City, TX 77573-2983
Claudia Piper	c/o Goldman Sachs, 8180 Greensboro Dr, 8th Flr, McLean, VA 22102
David Birdsall	c/o Goldman Sachs, 8180 Greensboro Dr, 8th Flr, McLean, VA 22102
David Hall	c/o Goldman Sachs, 8180 Greensboro Dr, 8th Flr, McLean, VA 22102
Janette Steffer	c/o Goldman Sachs, 8180 Greensboro Dr, 8th Flr, McLean, VA 22102
Judith Richardson	c/o Goldman Sachs, 8180 Greensboro Dr, 8th Flr, McLean, VA 22102
Lynn Glazewski	c/o Goldman Sachs, 8180 Greensboro Dr, 8th Flr, McLean, VA 22102
Marie Powers	c/o Goldman Sachs, 8180 Greensboro Dr, 8th Flr, McLean, VA 22102
Michael Tadra	c/o Goldman Sachs, 8180 Greensboro Dr, 8th Flr, McLean, VA 22102
Ruth Yanok	c/o Goldman Sachs, 8180 Greensboro Dr, 8th Flr, McLean, VA 22102
Shirley V. Lomonaco Living T	c/o Goldman Sachs, 8180 Greensboro Dr, 8th Flr, McLean, VA 22102
Tom Scott	c/o Goldman Sachs, 8180 Greensboro Dr, 8th Flr, McLean, VA 22102
Mark Benko	2966 W Royal Copeland Dr, Tucson, AZ 85745-1593
Joanne Borner	12212 S 74th Ave, Palos Heights, IL 60463-1339
Raymond Borner	12212 74th Ave, Palos Heights, IL 60463-1339
Margaret Boyd-Hadley	7790 Hall Rd, Fairburn, GA 30213-1970
Lawrence Butler	92 Deer Meadow Ct, St Peters, MO 63304-7716
Carl Clayton	7545 Avalon Bay Street, Las Vegas, NV 89139-5307
John Cochran	984 Deercrest Drive, San Bernardino, CA 92407-1308
John Cooper	19316 W Colter St, Litchfield Park, AZ 85340-5768
Bibek Das	3106 Rosston Cir, Houston, TX 77082-3047
Timothy Dawalt	159 Draketown Rd, Bloomsburg, PA 17815-7708
Fredrick Franco	136 Davis Bridge Rd, Bernville, PA 19506-8247
Alan Garland	12609 N 18th Pl, Phoenix, AZ 85022-5737
Rosa Gibson	524 Simonton St, Montgomery, TX 77356-3277
John Gray	19 Kayak Ridge Drive, The Woodlands, TX 77389-8595
Constance Grigg-Koning	10811 Carpenter St, Mokena, IL 60448-1513
Toni Hanks	2314 Laurel Rustic Oaks, Houston, TX 77014-2789
Elizebeth Howard	9994 N Sumter Creek Pl, Tucson, AZ 85742-8627
Leonard Jackson	1702 Northshore Dr, Missouri City, TX 77459-1633
Janet Kalilikane	120 N Val Vista Dr , Mesa, AZ 85213-8625
Michael Levitt	3120 Royal Palm Court, Fort Lauderdale, PA 33312-6338
Matthew MacVane	99 SW 14th Ave, Boca Raton, FL 33486-4454
Ellen Matoba	4878 Wellington Park Dr, San Jose, CA 95136-2947
Ronald Mendola	26543 SW 122nd Place, Homestead, FL 33032-7971
Sara Meyers	11842 MULHOLLAND DR, MEADOWS PLACE, TX 77477-1526
Sandra Newman	1627 Indian Wells Drive , Boulder City, NV 89005-3641
Hubert Odom	900 Longbranch Rd, Hohenwald, TN 38462-4010
Linda Richmond	2033 S Gessner #2307, Houston, TX 77063-1166
Marianne Smith	6104 N Lake Mountain Rd, Eagle Mountain, UT 84005-4479
Allison Spangler	3793 Sidestreet, Atlanta, GA 30341-1772
Michael Spotofora	7285 Falling Timber Court, Las Vegas, NV 89113-1136
Wells Trust	1201 Enterprise Ave Apt 522, League City, TX 77573-2983
Wilson Adkins	36963 N Stoneware Dr, San Tan Valley, AZ 85140-5320
Mark Manfre	150 S. La Arboleta St, Gilbert , AZ 85296-1129
Elisabeth Rundstrom	3106 N. Sawyer Circle, Mesa, AZ 85207-0930
Steven Sheldon	2609 N. 22nd Street. Mesa. AZ 85213-1437

Trevor Sherman	2033 E Cortez Drive, Gilbert , AZ 85234-3830
Ali Afjei	3781 W Meadow Briar Dr, Tucson, AZ 85741-5400
Timothy Allison	218 Hawthorne Place, Port Angeles, WA 98362-3716
Thomas Ashcraft	3121 N Pantano Rd, Tucson, AZ 85750-2837
Mark Benko	2966 W Royal Copeland Dr, Tucson, AZ 85745-1593
Carolyn Biddle	4103 Cane Valley ct. , Fulshear, TX 77441-1758
Glen Biddle	4103 Cane Valley Ct, Fulshear, TX 77441-1758
Eugene Bohenski	14707 W Crystal Ct, Surprise, AZ 85374-9681
Raymond Borner	12212 74th Ave, Palos Heights, IL 60463-1339
Libby Brinegar	7824 W Taro Lane, Glendale, AZ 85308-6104
Rickie Brinegar	7824 W Taro Lane, Glendale, AZ 85308-6104
M. Brown	6251 S. Bradshaw Way, Chandler, AZ 85249-3921
Donald Buchholz	34848 Starling Drive #4, Union City, CA 94587-4651
Mayme Carter	1550 E River Rd Apt 105, Tucson, AZ 85718-5801
Andrew Cherones	3043 E Placita Los Siete Adobes, Tucson, AZ 85718-3135
Michelle Clark	1785 N Stony Knoll Ln, Green Valley , AZ 85614-4284
Ann Counts	1816 Rider Rd, Azle, TX 76020-3830
Jack Daniel	2214 Killarney Ln., Deer Park, TX 77536-4060
Iva Das	3115 Gatesbury North Dr, Houston, TX 77082-3052
Judith Delph	9621 N 52nd Lane, Glendale, AZ 85302-3416
Mary Dennis	3322 Chalfont Dr, Houston, TX 77066-4904
James Donnelly	9297 E Caribbean Lane, Scottsdale, AZ 85260-2834
Roberta Erdmann	18319 Glenn Haven Estates Dr., Spring, TX 77379-2769
Lori Fraesdorf	4801 N Camino Antonio, Tucson, AZ 85718-6003
Rosa Gibson	524 Simonton St, Montgomery, TX 77356-3277
John Gray	19 Kayak Ridge Drive, The Woodlands, TX 77389-8595
Twyla Gray	19 Kayak Ridge Drive, The Woodlands, TX 77389-8595
Melanie Groseta	1906 W Fairway Ln, Payson, AZ 85541-4526
Arundhati Guha	1203 Foxland Chase St., Sugar Land, TX 77479-5331
Steven Harper	430 W Fort Morgan Rd Unit 1501, Gulfshores, AL 36542-4456
Gary Heyer	7324 E Villa Way, Scottsdale, AZ 85257-4225
Yolanda Hoirup	18807 McGhee Drive E, Bonney Lake, WA 98391-6821
Patricia Hollins	9158 National Park Drive, Las Vegas, NV 89178-3526
Lawson Horner	3026 S Lookout Ridge, Gold Canyon, AZ 85118-1706
Leonard Jackson	1702 Northshore Dr, Missouri City, TX 77459-1633
John Jellison	6855 Forest View Dr Apt 2A, Oak Forest, IL 60452-1641
Brenda Jennings	11515 Fawnway Dr, Houston, TX 77048-2607
Richard Jennings	PO Box 14693, Houston, TX 77221-4693
Eden Johnson	16711 Mount Allyson Circle, Fountain Vly, CA 92708-2430
Robert Kane	3528 W Starr Pass Blvd, Tucson, AZ 85745-9500
John Laughlin	20326 N 61st Ave, Glendale, AZ 85308-6717
Donna Linhart	17022 N 38th Ave, Glendale, AZ 85308-4202
Christian Lopez	3721 N Tyndall Ave, Tucson, AZ 85719-1639
Salvador Lopez	9452 E Hillery Way, Scottsdale, AZ 85260-2018
Elaine Macroglou	8149 E Via De Viva, Scottsdale, AZ 85258-3017
Tamra Marco	10791 Prairie Farm Road, Grant Park, IL 60940-4017
Mary Marquis	14019 SW Fwy Ste 301 Box 163, Sugar Land, TX 77478-3551

Laura Marsh	9120 W Wilshire Ave, Phoenix, AZ 85037-4249
Charles McBee	2421 Piney Point Dr, Deer Park, TX 77536-1529
Charles McClain	601 W FM 117, Dilley, TX 78017-3407
Gloria Meza	1013 S Vine Avenue, Ontario, CA 91762-5057
Karnetta Morris	2415 Cold River Dr, Humble, TX 77396-4911
Dennis Newport	2301 W 110th Place, Chicago, IL 60643-3921
John Perchalski	5893 S Henderson Canyon Dr, Green Valley, AZ 85622-6321
Denise Perez	15809 Scots Glen Rd, Orland Park, IL 60462-2443
Curtis Phillips	2317 Kestrel Drive, Rock Hill, SC 29732-8075
Hugh Pipkin	9544 N Corte Roca De Plata, Tucson, AZ 85704-8609
Dorrit Preuss	17590 Sweat Rd, Amesville, OH 45711-9315
M. Ramsey	940 E Foothills Dr, Tucson, AZ 85718-4717
Karen Ready	1927 Topside Ct, Crosby, TX 77532-5004
Cathy Rice	2614 Avenue J, Santa Fe, TX 77510-9029
Linda Richmond	2033 S Gessner #2307, Houston, TX 77063-1166
Charles Rossman	231 E Suntree St, Tucson, AZ 85737-6849
Pauline Rubin	11123 Renwick Dr., Houston, TX 77096-6138
Joan See	42 W 465 Sylvan Ln, St. Charles, IL 60175
Marsha Smith	2765 E Des Moines Circle, Mesa, AZ 85213-6802
Vickie Socias	7513 Avenue C, Santa Fe, TX 77510-8417
Steven Steinberg	752 Lindenwood Dr, Claremont, CA 91711-2952
Michael Thomas	15227 Foster Springs Ln, Houston, TX 77095-2180
Katherine Thompson	26213 N 49th Lane, Phoenix, AZ 85083-5405
Sandra Thompson	4396 N Atfield Pl, Tucson, AZ 85719-1175
Donald Tilly	707 39TH AVE SE, PUYALLUP, WA 98374-2236
Donald Tilly	707 39TH AVE SE, PUYALLUP, WA 98374-2236
Larry Trice	2439 Dover Glen Dr, Missouri City, TX 77489-4212
Teresa Tunstall	26915 Rustic Brook, San Antonio, TX 78261-2455
Bella Vivante	870 E Mitchell Dr, Tucson, AZ 85719-2942
David Wach	6774 Pine Way, Florence, AZ 85132-8021
Clyde Watson	2209 Westside Dr, Deer Park, TX 77536-3945
Joycelyn Williams	51 Wincrest Falls Dr, Cypress, TX 77429-5217
Mary Williams	St. Dominc Village, 2401 Holcombe Blvd, C216, Houston, TX 77021-2023
Susan Willis	730 W Kanmar Place, Tucson, AZ 85704-3802
Dennis Zarr	16537 Hardy St, Overland Park, KS 66085-9429
Cox Trust	12 Canyon Rd, Cloudcroft, NM 88317
Judith L. Werner Revocable L	1939 East Lake Dr, HUNTSVILLE, TX 77340
Wells Trust	1201 Enterprise Ave Apt 522, League City, TX 77573-2983



Be as complete and accurate as possible. If more space is needed, copy the Additional Page, numbering the entries consecutively. Attach the Additional Page to this page.

1. Does the debtor have any codebtors?

☒ No. Check this box and submit this form to the court with the debtor's other schedules. Nothing else needs to be reported on this form.

☐ Yes

2. In Column 1, list as codebtors all of the people or entities who are also liable for any debts listed by the debtor in the schedules of creditors, **Schedules D-G**. Include all guarantors and co-obligors. In Column 2, identify the creditor to whom the debt is owed and each schedule on which the creditor is listed. If the codebtor is liable on a debt to more than one creditor, list each creditor separately in Column 2.

Column 1: Codebtor		Column 2: Creditor	
Name	Mailing Address	Name	Check all schedules that apply:
	<div>Street</div> <div></div> <div>City State ZIP Code</div>		
	<div>Street</div> <div></div> <div>City State ZIP Code</div>		
	<div>Street</div> <div></div> <div>City State ZIP Code</div>		
	<div>Street</div> <div></div> <div>City State ZIP Code</div>		
	<div>Street</div> <div></div> <div>City State ZIP Code</div>		

Additional Page if Debtor Has More Codebtors

Copy this page only if more space is needed. Continue numbering the lines sequentially from the previous page.

ZIP Code



Fill in this information to identify the case:

Debtor name deeproot 575 Fund, LLC

United States Bankruptcy Court for the:

Western District of Texas

Case number (if known): 21-51517 Chapter 7

☐ Check if this is an amended filing

Official Form 206Sum  
Summary of Assets and Liabilities for Non-Individuals

12/15

Part 1: Summary of Assets

1. **Schedule A/B: Assets—Real and Personal Property** (Official Form 206A/B)

1a. **Real Property:**

Copy line 88 from *Schedule A/B*.....

\$0.00

1b. **Total personal property:**

Copy line 91A from *Schedule A/B*.....

\$50,042,207.63

1c. **Total of all property:**

Copy line 92 from *Schedule A/B*.....

\$50,042,207.63

Part 2: Summary of Liabilities

2. **Schedule D: Creditors Who Have Claims Secured by Property** (Official Form 206D)

Copy the total dollar amount listed in Column A, *Amount of claim*, from line 3 of *Schedule D*.....

\$0.00

3. **Schedule E/F: Creditors Who Have Unsecured Claims** (Official Form 206E/F)

3a. **Total claim amounts of priority unsecured claims:**

Copy the total claims from Part 1 from line 5a of *Schedule E/F*.....

\$0.00

3b. **Total amount of claims of non-priority amount of unsecured claims:**

Copy the total of the amount of claims from Part 2 from line 5b of *Schedule E/F*.....

**+** \$0.00

4. **Total liabilities**.....

Lines 2 + 3a + 3b

\$0.00

Case number (if known): 21-51517

☐ Check if this is an amended filing

## Official Form 202

## Declaration Under Penalty of Perjury for Non-Individual Debtors

12/15

An individual who is authorized to act on behalf of a non-individual debtor, such as a corporation or partnership, must sign and submit this form for the schedules of assets and liabilities, any other document that requires a declaration that is not included in the document, and any amendments of those documents. This form must state the individual's position or relationship to the debtor, the identity of the document, and the date. Bankruptcy Rules 1008 and 9011.

**WARNING – Bankruptcy fraud is a serious crime. Making a false statement, concealing property, or obtaining money or property by fraud in connection with a bankruptcy case can result in fines up to \$500,000 or imprisonment for up to 20 years, or both. 18 U.S.C. §§ 152, 1341, 1519, and 3571.**

## Declaration and signature

I am the president, another officer, or an authorized agent of the corporation; a member or an authorized agent of the partnership; or another individual serving as a representative of the debtor in this case.

I have examined the information in the documents checked below and I have a reasonable belief that the information is true and correct:

- ☒ *Schedule A/B: Assets—Real and Personal Property* (Official Form 206A/B)
- ☒ *Schedule D: Creditors Who Have Claims Secured by Property* (Official Form 206D)
- ☒ *Schedule E/F: Creditors Who Have Unsecured Claims* (Official Form 206E/F)
- ☒ *Schedule G: Executory Contracts and Unexpired Leases* (Official Form 206G)
- ☒ *Schedule H: Codebtors* (Official Form 206H)
- ☒ *A Summary of Assets and Liabilities for Non-Individuals* (Official Form 206A-Summary)
- ☐ *Amended Schedule* \_\_\_\_\_
- ☐ *Chapter 11 or Chapter 9 Cases: List of Creditors Who Have the 20 Largest Unsecured Claims and Are Not Insiders* (Official Form 204)
- ☐ Other document that requires a declaration \_\_\_\_\_

I declare under penalty of perjury that the foregoing is true and correct.

Executed on 01/13/2022  
MM/ DD/ YYYY

**X**

/s/ Robert J. Mueller

Signature of individual signing on behalf of debtor

Robert J. Mueller

Printed name

Manager

Position or relationship to debtor

Fill in this information to identify the case:

Debtor name deeproot 575 Fund, LLC

United States Bankruptcy Court for the:  
Western District of Texas

Case number (if known): 21-51517

☐ Check if this is an amended filing

Official Form 207

Statement of Financial Affairs for Non-Individuals Filing for Bankruptcy

04/19

The debtor must answer every question. If more space is needed, attach a separate sheet to this form. On the top of any additional pages, write the debtor's name and case number (if known).

Part 1: Income

1. Gross revenue from business

☒ None

Identify the beginning and ending dates of the debtor's fiscal year, which may be a calendar year		Sources of revenue Check all that apply	Gross revenue (before deductions and exclusions)
From the beginning of the fiscal year to filing date:	From <u>01/01/2021</u> to Filing date MM/ DD/ YYYY	<input type="checkbox"/> Operating a business <input type="checkbox"/> Other _____	
For prior year:	From <u>01/01/2020</u> to <u>12/31/2020</u> MM/ DD/ YYYY MM/ DD/ YYYY	<input type="checkbox"/> Operating a business <input type="checkbox"/> Other _____	
For the year before that:	From <u>01/01/2019</u> to <u>12/31/2019</u> MM/ DD/ YYYY MM/ DD/ YYYY	<input type="checkbox"/> Operating a business <input type="checkbox"/> Other _____	

2. Non-business revenue

Include revenue regardless of whether that revenue is taxable. *Non-business income* may include interest, dividends, money collected from lawsuits, and royalties. List each source and the gross revenue for each separately. Do not include revenue listed in line 1.

☒ None

	Description of sources of revenue	Gross revenue from each source (before deductions and exclusions)
From the beginning of the fiscal year to filing date:	From <u>01/01/2021</u> to Filing date MM/ DD/ YYYY	_____
For prior year:	From <u>01/01/2020</u> to <u>12/31/2020</u> MM/ DD/ YYYY MM/ DD/ YYYY	_____
For the year before that:	From <u>01/01/2019</u> to <u>12/31/2019</u> MM/ DD/ YYYY MM/ DD/ YYYY	_____

**3. Certain payments or transfers to creditors within 90 days before filing this case**

☒ None

### 3.1.

List payments or transfers, including expense reimbursements, made within 1 year before filing this case on debts owed to an insider or guaranteed or co-signed by an insider unless the aggregate value of all property transferred to or for the benefit of the insider is less than \$6,825. (This amount may be adjusted on 4/01/22 and every 3 years after that with respect to cases filed on or after the date of adjustment.) Do not include any payments listed in line 3. *Insiders* include officers, directors, and anyone in control of a corporate debtor and their relatives; general partners of a partnership debtor and their relatives; affiliates of the debtor and insiders of such affiliates; and any managing agent of the debtor. 11 U.S.C. § 101(31).

☒ None4.1.

List all property of the debtor that was obtained by a creditor within 1 year before filing this case, including property repossessed by a creditor, sold at a foreclosure sale, transferred by a deed in lieu of foreclosure, or returned to the seller. Do not include property listed in line 6.

☒ Nonepage 2

5.1. \_\_\_\_\_  
Creditor's name \_\_\_\_\_  
\_\_\_\_\_  
Street \_\_\_\_\_  
\_\_\_\_\_  
\_\_\_\_\_  
City \_\_\_\_\_ State \_\_\_\_\_ ZIP Code \_\_\_\_\_

## 6. Setoffs

List any creditor, including a bank or financial institution, that within 90 days before filing this case set off or otherwise took anything from an account of the debtor without permission or refused to make a payment at the debtor's direction from an account of the debtor because the debtor owed a debt.

☒ None

Creditor's name and address	Description of the action creditor took	Date action was taken	Amount
-----------------------------	---	-----------------------	--------

6.1. \_\_\_\_\_  
 Creditor's name \_\_\_\_\_  
 \_\_\_\_\_  
 Street \_\_\_\_\_  
 \_\_\_\_\_  
 \_\_\_\_\_  
 City \_\_\_\_\_ State \_\_\_\_\_ ZIP Code \_\_\_\_\_

XXXX-\_\_\_\_-\_\_\_\_-\_\_\_\_

### Part 3: Legal Actions or Assignments

**7. Legal actions, administrative proceedings, court actions, executions, attachments, or governmental audits**

List the legal actions, proceedings, investigations, arbitrations, mediations, and audits by federal or state agencies in which the debtor was involved in any capacity—within 1 year before filing this case.

☐ None

7.1.	<div>Case title</div> <div>SEC v. Robert J. Mueller, et al.</div> <div>Case number</div> <div>5:21-cv-785</div>	<div>Nature of case</div>	<div>Court or agency's name and address</div> <div>U.S. District Court, Western District of Texas</div> <div>Name</div> <div>655 E Cesar E Chavez Blvd</div> <div>Street</div> <div></div> <div>San Antonio, TX 78206-1114</div> <div>CityStateZIP Code</div>	<div>Status of case</div> <div><input checked="" type="checkbox"/> Pending</div> <div><input type="checkbox"/> On appeal</div> <div><input type="checkbox"/> Concluded</div>
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## 8. Assignments and receivership

List any property in the hands of an assignee for the benefit of creditors during the 120 days before filing this case and any property in the hands of a receiver, custodian, or other court-appointed officer within 1 year before filing this case.

☒ None

8.1. **Custodian's name and address**

Custodian's name

Street

City State ZIP Code

**Description of the property**

**Case title**

**Case number**

**Date of order or assignment**

**Value**

**Court name and address**

Name

Street

City State ZIP Code

## Part 4: Certain Gifts and Charitable Contributions

9. List all gifts or charitable contributions the debtor gave to a recipient within 2 years before filing this case unless the aggregate value of the gifts to that recipient is less than \$1,000

☒ None

9.1.	Recipient's name and address	Description of the gifts or contributions	Dates given	Value
	Recipient's name			
	Street			
	City State ZIP Code			
	Recipient's relationship to debtor			

## Part 5: Certain Losses

10. All losses from fire, theft, or other casualty within 1 year before filing this case.

☒ None

Description of the property lost and how the loss occurred	Amount of payments received for the loss	Date of loss	Value of property lost
<p>If you have received payments to cover the loss, for example, from insurance, government compensation, or tort liability, list the total received.</p> <p>List unpaid claims on Official Form 106A/B (<i>Schedule A/B: Assets – Real and Personal Property</i>).</p>			

## Part 6: Certain Payments or Transfers

## 11. Payments related to bankruptcy

List any payments of money or other transfers of property made by the debtor or person acting on behalf of the debtor within 1 year before the filing of this case to another person or entity, including attorneys, that the debtor consulted about debt consolidation or restructuring, seeking bankruptcy relief, or filing a bankruptcy case.

☐ None

First Name	Middle Name	Last Name
------------	-------------	-----------

Case number (if known) 21-51517

11 1

3131 McKinney Ave. Suite 500  
Street

City	State	ZIP Code
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**Who made the payment, if not debtor?**

Davis & Santos PLLC IOLTA account

11 2

3131 McKinney Ave. Suite 500  
Street

City	State	ZIP Code
------	-------	----------

**Who made the payment, if not debtor?**

deeproot Funds, LLC & deeproot Tech, LLC

## 12

List any payments or transfers of property made by the debtor or a person acting on behalf of the debtor within 10 years before the filing of this case to a self-settled trust or similar device.

Do not include transfers already listed on this statement.

☒ None

12 1

☒ None☒ Does not apply

☒ No. Go to Part 9.

☐ Yes. Fill in the information below.



15 1

**16. Does the debtor collect and retain personally identifiable information of customers?**

17. Within 6 years before filing this case, have any employees of the debtor been participants in any ERISA, 401(k), 403(b) or other pension or profit-sharing plan made available by the debtor as an employee benefit?

- | Name of plan  | Employer identification number of the plan |
|---|--|
| _____   | EIN: ____ - _____                          |
| <p>Has the plan been terminated?</p> <p><input type="checkbox"/> No</p> <p><input type="checkbox"/> Yes</p> |  |

### 18. Closed financial accounts

Within 1 year before filing this case, were any financial accounts or instruments held in the debtor's name, or for the debtor's benefit, closed, sold, moved, or transferred?  
Include checking, savings, money market, or other financial accounts; certificates of deposit; and shares in banks, credit unions, brokerage houses, cooperatives, associations, and other financial institutions.

- ☐
- None

18.1

## 19. Safe deposit boxes

List any safe deposit box or other depository for securities, cash, or other valuables the debtor now has or did have within 1 year before filing this case.

☒ None

19.1	Depository institution name and address	Names of anyone with access to it	Description of the contents	Does debtor still have it?
	Name			<input type="checkbox"/> No
	Street			<input type="checkbox"/> Yes
		Address		
	City State ZIP Code			

## 20. Off-premises storage

List any property kept in storage units or warehouses within 1 year before filing this case. Do not include facilities that are in a part of a building in which the debtor does business.

☒ None

20.1	Facility name and address	Names of anyone with access to it	Description of the contents	Does debtor still have it?
	<div>Name</div> <div>Street</div> <div>City</div> <div>State</div> <div>ZIP Code</div>	<div></div> <div></div> <div>Address</div> <div></div> <div></div>	<div></div> <div></div> <div></div> <div></div>	<div><input type="checkbox"/> No</div> <div><input type="checkbox"/> Yes</div>

## Part 11: Property the Debtor Holds or Controls That the Debtor Does Not Own

## 21. Property held for another

List any property that the debtor holds or controls that another entity owns. Include any property borrowed from, being stored for, or held in trust. Do not list leased or rented property.

☒ None

Owner's name and address			Location of the property	Description of the property	Value
<hr/>			<hr/>	<hr/>	<hr/>
Name			<hr/>	<hr/>	
<hr/>			<hr/>	<hr/>	
Street			<hr/>	<hr/>	
<hr/>				<hr/>	
<hr/>				<hr/>	
City	State	ZIP Code		<hr/>	

## Part 12: Details About Environmental Information

For the purpose of Part 12, the following definitions apply:

- *Environmental law* means any statute or governmental regulation that concerns pollution, contamination, or hazardous material, regardless of the medium affected (air, land, water, or any other medium).
- *Site* means any location, facility, or property, including disposal sites, that the debtor now owns, operates, or utilizes or that the debtor formerly owned, operated, or utilized.

**Report all notices, releases, and proceedings known, regardless of when they occurred.**

☒ No

☐ Yes. Provide details below.

Case number

☒ No

☐ Yes. Provide details below.

---

Name \_\_\_\_\_

☒ No

☐ Yes. Provide details below.

---

Name \_\_\_\_\_

**25. Other businesses in which the debtor has or has had an interest**

☒ None

First Name		Middle Name	Last Name
------------	--	-------------	-----------

Business name and address

Describe the nature of the business

Employer Identification number  
Do not include Social Security number or ITIN.

25.1.

Name

Street

City

State

ZIP Code

EIN: - - - - -

Dates business existed

From - To -

26. Books, records, and financial statements

26a. List all accountants and bookkeepers who maintained the debtor's books and records within 2 years before filing this case.  
☐ None

Name and address

Dates of service

26a.1.

Charlotte Acker

Name

2313 Brittany Grace

Street

New Braunfels, TX 78130

City

State

ZIP Code

From - To -

26b. List all firms or individuals who have audited, compiled, or reviewed debtor's books of account and records or prepared a financial statement within 2 years before filing this case.  
☒ None

Name and address

Dates of service

26b.1.

Name

Street

City

State

ZIP Code

From - To -

26c. List all firms or individuals who were in possession of the debtor's books of account and records when this case is filed.  
☐ None

Name and address

If any books of account and records are unavailable, explain why

26c.1.

Robert J. Mueller

Name

P.O. Box 690562

Street

San Antonio, TX 78269

City

State

ZIP Code



First Name	Middle Name	Last Name
------------	-------------	-----------

Case number (if known) 21-51517

☒ No☐ Yes. Identify below.

Name	Address	Position and nature of any interest	Period during which position or interest was held
------	---------	-------------------------------------	---

From \_\_\_\_\_  
To \_\_\_\_\_

Within 1 year before filing this case, did the debtor provide an insider with value in any form, including salary, other compensation, draws, bonuses, loans, credits on loans, stock redemptions, and options exercised?

☒ No☐ Yes. Identify below.

Name and address of recipient	Amount of money or description and value of property	Dates	Reason for providing the value
-------------------------------	--	-------	--------------------------------

30.1.

Name

Street

City	State	ZIP Code
------	-------	----------

### Relationship to debtor

☐ No☒ Yes. Identify below.

Name of the parent corporation	Employer Identification number of the parent corporation
Policy Services, Inc.	EIN: 90-0852684

☒ No☐ Yes. Identify below.

Name of the pension fund	Employer Identification number of the pension fund
	FIN: –

## Part 14: Signature and Declaration

**WARNING** -- Bankruptcy fraud is a serious crime. Making a false statement, concealing property, or obtaining money or property by fraud in connection with a bankruptcy case can result in fines up to \$500,000 or imprisonment for up to 20 years, or both. 18 U.S.C. §§ 152, 1341, 1519, and 3571.

I have examined the information in this *Statement of Financial Affairs* and any attachments and have a reasonable belief that the information is true and correct.

I declare under penalty of perjury that the foregoing is true and correct.

Executed on 01/13/2022  
MM/ DD/ YYYY

X

/s/ Robert J. Mueller

Signature of individual signing on behalf of the debtor

Printed name Robert J. Mueller

Position or relationship to debtor Manager

Are additional pages to *Statement of Financial Affairs for Non-Individuals Filing for Bankruptcy* (Official Form 207) attached?

☒ No

☐ Yes